

# Cosmology

## Lecture 19

Halo mass function

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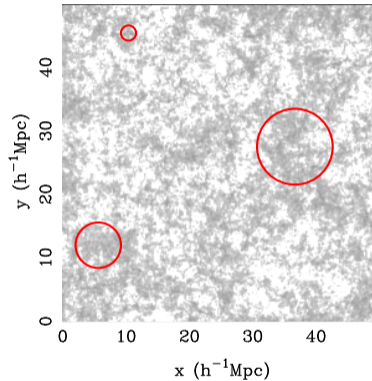
## Formation of haloes

- ▶ The formation of collapsed objects is crucial for forming galaxies. The first task is to obtain the mass distribution of haloes (i.e., the halo mass function) in the universe for a cosmic density field.
- ▶ The full problem is non-linear and cannot be done analytically. However, there is an extremely interesting theoretical model which captures the basics of the formation of haloes.
- ▶ We have already seen that, in the spherical approximation, a region collapses and forms a virialized object when the *linear* density contrast within the region exceeds  $\delta_c \approx 1.69$ .
- ▶ Now, suppose we are given the initial density field, i.e., the linear density contrast  $\delta(z_{\text{in}}, \vec{x})$ . We know that it will grow as  $D(z)$  in the linear theory.
- ▶ Writing  $\delta(z, \vec{x}) = D(z) \delta(\vec{x})$ , where  $\delta(\vec{x})$  is the *linearly extrapolated* field at  $z = 0$ , we understand that a region of comoving radius  $X$  will collapse when

$$\delta_X(z, \vec{x}) \geq \delta_c \implies \delta_X(\vec{x}) \geq \frac{\delta_c}{D(z)} \equiv \delta_c(z).$$

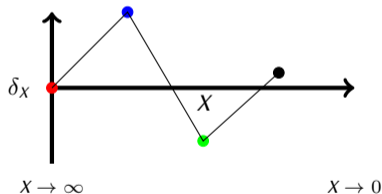
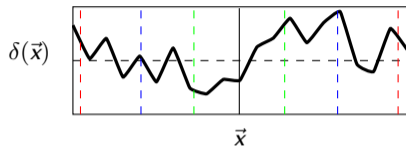
# Identification of haloes in the linear field

Identify all possible spherical regions which have  $\delta_x(\vec{x}) \geq \delta_c(z)$ . An extremely cumbersome method!



TRC, Haehnelt & Regan (2009)

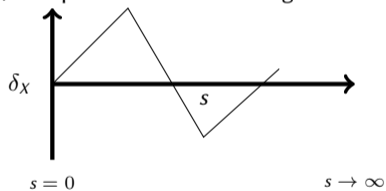
# Sampling the random field



- ▶ One can obtain the halo mass function analytically using what is called the *excursion set formalism*.
- ▶ Consider the linear density field and let us concentrate on a given point  $\vec{x}$ .
- ▶ Now we smooth the field using a spherical window of radius  $X$ . Let us start with a large radius  $X \rightarrow \infty$  and compute  $\delta_X(\vec{x})$ . If  $X$  is large enough, we expect  $\delta_X \rightarrow 0$ .
- ▶ Next we take a smaller radius and compute  $\delta_X(\vec{x})$ .
- ▶ We continue this process with smaller and smaller  $X$ .
- ▶ The smoothed quantity  $\delta_X$  seems to be carrying out a “random walk” as a function of smoothing radius  $X$ .

## Defining the random walk

- ▶ The problem can be mapped to a random walk if we make certain modifications to the earlier discussion.
- ▶ Let us choose the variable corresponding to random walk steps as  $s \equiv \sigma^2(X) = \sigma^2(M)$  (where  $M = 4\pi X^3 \bar{\rho}_0/3$ ) instead of  $X$ .
- ▶ Note that  $s$  is a monotonically decreasing function of  $X$  and  $M$ . Also  $s \rightarrow 0$  as  $X \rightarrow \infty$ . Thus all trajectories in the  $\delta_X - s$  space start from the origin.



- ▶ Each location  $\vec{x}$  in the density field  $\delta(\vec{x})$  corresponds to a trajectory  $\delta_X(s)$ , which reflects the value of the density field at that location when smoothed with a filter of radius  $X(s)$ .

## Recap of random walk

- ▶ Let  $x_1, \dots, x_N$  be random variables which can take values  $\pm 1$  with probabilities

$$\mathcal{P}(x_i = 1) = \mathcal{P}(x_i = -1) = \frac{1}{2}.$$

The  $x_i$  can be thought of as the distance travelled in a step by a random walker.

- ▶ Let  $D_N = \sum_i^N x_i$  be the distance after  $N$  steps, which itself is a random variable. Then its expectation is

$$\langle D_N \rangle = \sum_{i=1}^N \langle x_i \rangle = \sum_{i=1}^N [\mathcal{P}(x_i = 1) \times (+1) + \mathcal{P}(x_i = -1) \times (-1)] = 0.$$

The symmetry of the probability ensures that the average distance travelled by an ensemble of walkers is zero.

- ▶ The variance is given by  $\langle D_N^2 \rangle = \sum_{i,j=1}^N \langle x_i x_j \rangle$ . If the variables (steps) are uncorrelated, then  $\langle x_i x_j \rangle = 0$  when  $i \neq j$ . In that case

$$\langle D_N^2 \rangle = \sum_{i=1}^N \langle x_i^2 \rangle = N.$$

## Filtered density field

► We write the density field smoothed over some length  $X$  as  $\delta_X(\vec{x}) = \int d^3y \delta(\vec{y}) W_X(|\vec{y} - \vec{x}|)$ .

► In Fourier space, we can write this as  $\delta_X(\vec{x}) = \int \frac{d^3k}{(2\pi)^3} e^{i\vec{k}\cdot\vec{x}} \delta(\vec{k}) W_X^*(k)$ .

► Now, the correlation across different filter scales is given by

$$\langle \delta_{X_1}(\vec{x}) \delta_{X_2}(\vec{x}) \rangle = \int \frac{d^3k}{(2\pi)^3} \frac{d^3k'}{(2\pi)^3} e^{i\vec{k}\cdot\vec{x}} e^{-i\vec{k}'\cdot\vec{x}} \langle \delta(\vec{k}) \delta^*(\vec{k}') \rangle W_{X_1}^*(k) W_{X_2}(k') = \int_0^\infty \frac{dk}{k} \Delta^2(k) W_{X_1}^*(k) W_{X_2}(k).$$

► A special case is

$$\langle \delta_X^2(\vec{x}) \rangle = \int_0^\infty \frac{dk}{k} \Delta^2(k) W_X^*(k) W_X(k) \equiv \sigma^2(X) \equiv s(X).$$

► Given the above relations, we can make the following correspondence with the random walk problem

Random variable:	$x_i \iff \delta$
Distance:	$D_N \iff \delta_X$
Expectation:	$\langle D_N \rangle = 0 \iff \langle \delta_X \rangle = 0$
Variance:	$\langle D_N^2 \rangle = N \iff \langle \delta_X^2 \rangle = s$

Thus  $s \equiv \sigma^2$  should play the role of number of steps.

► Note that  $X$ ,  $M$  and  $s$  all can be used for measuring the smoothing scale. Hence we will use the notation

$$\delta_X \equiv \delta_s.$$

## Correlation between steps

- ▶ We still need to show that different steps are uncorrelated for the random walk correspondence to work.
- ▶ One can show that this is possible if we use the sharp- $k$  filter

$$W_X(k) = \theta \left( \frac{1}{X} - k \right).$$

- ▶ The corresponding filter in real space is

$$W_X(r) = \frac{\sin(r/X) - (r/X) \cos(r/X)}{2\pi^2 r^3}.$$

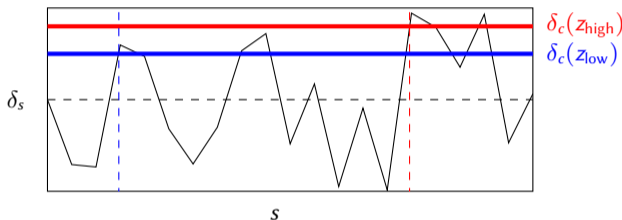


## Barrier crossing

- ▶ We have seen that the condition for collapse at redshift  $z$  is

$$\delta(\vec{x}) \geq \frac{\delta_c}{D(z)} \equiv \delta_c(z).$$

Thus  $\delta_c(z)$  acts like a “barrier” which the random walks must cross for forming haloes.



- ▶ The condition for halo formation will be given by the random walks *upcrossing* the barrier for the first time.
- ▶ Any subsequent upcrossings would correspond to larger  $s$ , i.e., smaller length scales which would simply be structures within the large halo.
- ▶ One can see that early redshift implies higher barrier. So statistically the random walks have to travel more at high redshifts to cross the barrier.
- ▶ This implies that the haloes which collapse at early times will have larger values of  $s$  and hence smaller values of  $M$ . Thus small-mass haloes form first. This is known as **hierarchical structure formation**.

## Mass function of haloes

- ▶ Let a random walk upcross the barrier  $\delta_0 = \delta_c/D(z)$  at  $s_0$  for the first time. Then it is expected to form a collapsed object of mass corresponding to the  $s_0$  at a redshift corresponding to  $D(z) = \delta_c/\delta_0$ .
- ▶ Let  $f_{\text{FC}}(\delta, s)ds$  denote the fraction of random walks which *first* upcross the barrier  $\delta$  at a point between  $(s, s + ds)$ .
- ▶ If  $\delta = \delta_c(z)$ , this will be equal to the fraction of points  $f(M, z)dM$  which will collapse to objects with mass  $(M, M + dM)$  at redshift  $z$ .
- ▶ In the initial field, each of these points has a comoving volume  $M(1 + \delta_i)/\bar{\rho}_0 \approx M/\bar{\rho}_0$ . So for a large comoving volume  $V_\infty$ , the total number of points is  $V_\infty \bar{\rho}_0/M$ .

▶ Hence

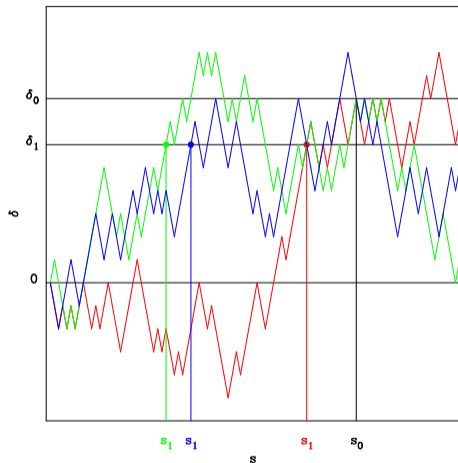
$$f_{\text{FC}}(\delta_c(z), s)ds = f(M, z)dM = \frac{1}{\bar{\rho}_0} \frac{M}{V_\infty} N(M, z)dM = \frac{M}{\bar{\rho}_0} n(M, z)dM,$$

or,

$$n(M, z) = \frac{\bar{\rho}_0}{M} f_{\text{FC}}(\delta_c(z), s) \left| \frac{ds}{dM} \right|.$$

- ▶ This is the number of haloes per unit comoving volume per unit mass range, and is known as the **halo mass function**.

## Distribution of first crossing: I



- ▶ To obtain  $f_{FC}(\delta, s)$ , let us first consider the fraction of trajectories  $\mathcal{P}(\delta_0, s_0)d\delta_0$  which have value between  $(\delta_0, \delta_0 + d\delta_0)$  at  $s = s_0$ . The gaussianity of the linear density field implies

$$\mathcal{P}(\delta_0, s_0) = \frac{1}{\sqrt{2\pi s_0}} e^{-\delta_0^2/2s_0}.$$

- ▶ All of these trajectories must have first upcrossed the point  $\delta = \delta_1 < \delta_0$  at some  $s = s_1 < s_0$ .
- ▶ As per our definition, the fraction of points which *first* upcross  $\delta_1$  between  $(s_1, s_1 + ds_1)$  is given by  $f_{FC}(\delta_1, s_1)ds_1$ .
- ▶ Out of these, let a fraction  $\mathcal{P}(\delta_0, s_0|\delta_1, s_1, FC) d\delta_0$  have value between  $(\delta_0, \delta_0 + d\delta_0)$  at  $s_0$ .
- ▶ Hence, the fraction of points which *first* upcrossed  $\delta_1$  between  $(s_1, s_1 + ds_1)$  and have value between  $(\delta_0, \delta_0 + d\delta_0)$  at  $s_0$  is

$$f_{FC}(\delta_1, s_1)ds_1 \times \mathcal{P}(\delta_0, s_0|\delta_1, s_1, FC)d\delta_0.$$

## Distribution of first crossing: II

- ▶ The fraction of points which have value between  $(\delta_0, \delta_0 + d\delta_0)$  at  $s_0$  (irrespective of where they first upcrossed  $\delta_1$ ) is obtained by integrating the quantity over  $s_1$ , i.e.,

$$\mathcal{P}(\delta_0, s_0)d\delta_0 = \int_0^{s_0} ds_1 f_{\text{FC}}(\delta_1, s_1)\mathcal{P}(\delta_0, s_0|\delta_1, s_1, \text{FC})d\delta_0.$$

- ▶ Now, the trajectories which *first* upcross  $\delta_1$  at  $s_1$  can be thought of starting a new random walk from  $(\delta_1, s_1)$  (instead of  $(0, 0)$ ). This follows from the fact that subsequent steps are uncorrelated with the previous ones.
- ▶ Then

$$\begin{aligned} \mathcal{P}(\delta_0, s_0|\delta_1, s_1, \text{FC})d\delta_0 &= \mathcal{P}(\delta_0 - \delta_1, s_0 - s_1)d\delta_0 \\ &= \frac{1}{\sqrt{s_0 - s_1}\sqrt{2\pi}} e^{-(\delta_0 - \delta_1)^2/2(s_0 - s_1)}. \end{aligned}$$

## Distribution of first crossing: III

- ▶ So, we know  $\mathcal{P}(\delta_0, s_0)$  and  $\mathcal{P}(\delta_0, s_0 | \delta_1, s_1, \text{FC})$  and we want to determine  $f_{\text{FC}}(\delta_1, s_1)$ .
- ▶ To do this, let us integrate over  $\delta_0$ :

$$\int_{\delta_1}^{\infty} d\delta_0 \mathcal{P}(\delta_0, s_0) = \int_0^{s_0} ds_1 f_{\text{FC}}(\delta_1, s_1) \int_{\delta_1}^{\infty} d\delta_0 \mathcal{P}(\delta_0, s_0 | \delta_1, s_1, \text{FC}),$$

$$\frac{1}{\sqrt{2\pi s_0}} \int_{\delta_1}^{\infty} d\delta_0 e^{-\delta_0^2/2s_0} = \frac{1}{\sqrt{s_0 - s_1} \sqrt{2\pi}} \int_0^{s_0} ds_1 f_{\text{FC}}(\delta_1, s_1) \int_{\delta_1}^{\infty} d\delta_0 e^{-(\delta_0 - \delta_1)^2/2(s_0 - s_1)},$$

$$\frac{1}{2} \operatorname{erfc}\left(\frac{\delta_1}{\sqrt{2s_0}}\right) = \frac{1}{\sqrt{s_0 - s_1} \sqrt{2\pi}} \int_0^{s_0} ds_1 f_{\text{FC}}(\delta_1, s_1) \int_0^{\infty} d\bar{\delta}_0 e^{-\bar{\delta}_0^2/2(s_0 - s_1)}$$

$$= \int_0^{s_0} ds_1 f_{\text{FC}}(\delta_1, s_1) \times \frac{1}{2}.$$

- ▶ So,

$$\int_0^{s_0} ds_1 f_{\text{FC}}(\delta_1, s_1) = \operatorname{erfc}\left(\frac{\delta_1}{\sqrt{2s_0}}\right).$$

- ▶ This can be differentiated to show that

$$f_{\text{FC}}(\delta_1, s_0) = \frac{\delta_1}{\sqrt{2\pi s_0^3}} e^{-\delta_1^2/2s_0}.$$

# The Press-Schechter halo mass function



► Then

$$\begin{aligned}n(M, z) &= \frac{\bar{\rho}_0}{M} f_{\text{FC}}(\delta_c(z), s) \left| \frac{ds}{dM} \right| \\ &= \frac{\bar{\rho}_0}{M} \left| \frac{ds}{dM} \right| \times \frac{\delta_c(z)}{\sqrt{2\pi s^3}} e^{-\delta_c^2(z)/2s} \\ &= \frac{1}{\sqrt{2\pi}} \frac{\bar{\rho}_0}{M} \frac{\delta_c(z)}{s^{3/2}} \left| \frac{ds}{dM} \right| e^{-\delta_c^2(z)/2s}.\end{aligned}$$

This is known as the **Press-Schechter mass function**.

► One can improve the model by incorporating collapse of ellipsoids. This leads to an improvement to the match with simulations, and the mass function is known as the **Sheth-Tormen mass function**.

# Comparison with simulations

$z = 7.0$

