### **Electrodynamics and Radiative Processes I**

### Lecture 12 – Compton/Inverse-Compton scattering

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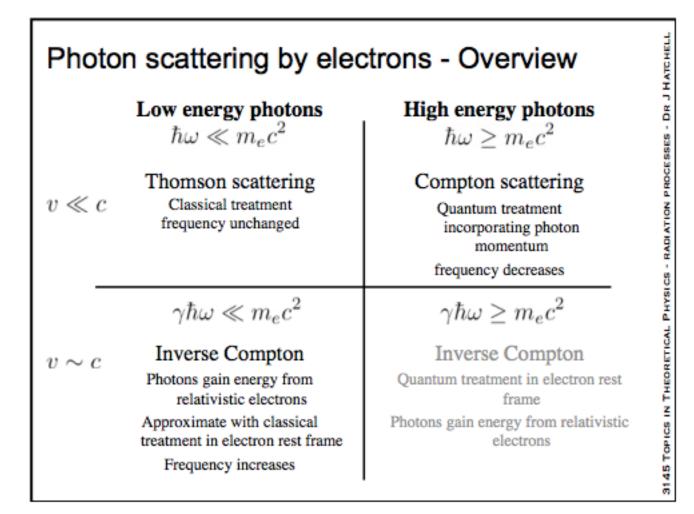
**IUCAA-NCRA** Graduate School

Reference :

1) Rybicki and Lightman

2) Ghisellini: http://www.brera.inaf.it/utenti/gabriele/total.pdf

Date : 16<sup>th</sup> September 2019



### Thomson Scattering (low-energy photons)

- 1. It occurs when the photon's energy is << electron rest mass
- 2. The electrons move non-relativistically: v<<c

The incoming and outgoing photon has the same energy and the electron does not change energy in the scattering process (elastic or coherent scattering).

# **Thomson Scattering**

For low photon energies the scattering of radiation from free charges reduces the classical Thomson scattering (Lecture 3,4).

♦ Frequency independent, so scattering is equally effective at all frequencies.

 $\diamond$  Valid for lower frequencies. Not valid for high frequencies hv >mc<sup>2</sup>

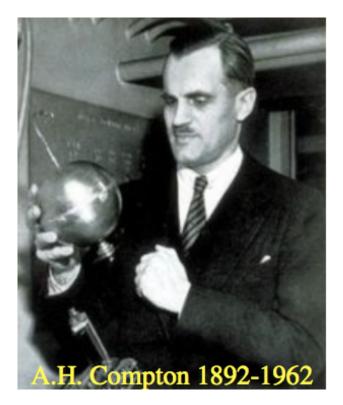
"In physics, Compton scattering or the Compton effect, is the decrease in energy (increase in wavelength) of an X-ray or gamma ray photon, when it interacts with matter.

Inverse Compton scattering also exists, where the photon gains energy (decreasing in wavelength) upon interaction with matter. The amount the wavelength increases by is called the Compton shift."

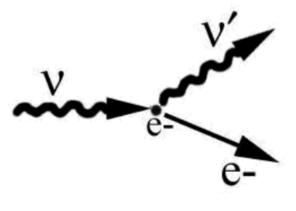
Compton effect was observed by Arthur Holly Compton in 1923, for which he earned the 1927 Nobel Prize in Physics.

The effect is important because it demonstrates that light cannot be explained purely as a wave phenomenon.

Thomson scattering, the classical theory of charged particles scattered by an electromagnetic wave, cannot explain any shift in wavelength. Light must behave as if it consists of particles in order to explain the Compton scattering.



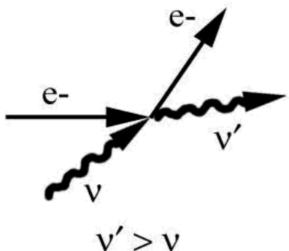
Compton scattering



v' < v

Electron is initially at rest e- gains energy

Direct : Photon loses energy electron gains energy Inverse Compton scattering



V > VHigh energy e- initially e- loses energy

Inverse : Photon gains energy electron loses energy

### Compton Scattering (notation)

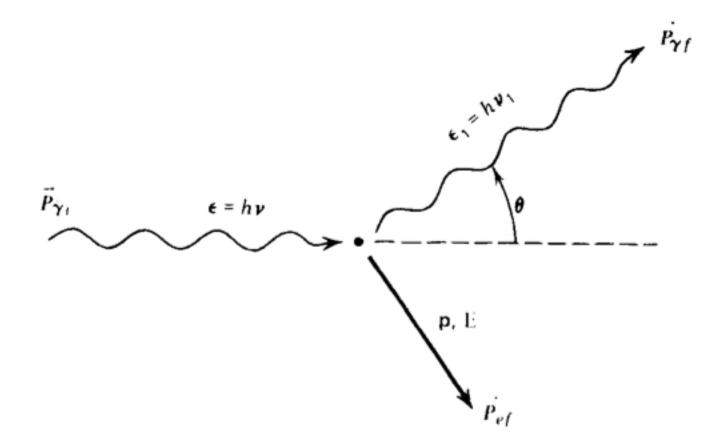
-- The prime symbol ' means that the quantity is calculated in the rest frame K' (i.e. the electron's rest frame in this case).

No prime symbol means the quantity is calculated in the lab frame
 K (i.e. observer frame).

 The under-script 1 means that the quantity is calculated after the scattering has already occurred.

No under-script means that the quantity is calculated before the scattering.

- $\epsilon \rightarrow$  energy before the scattering in K
- $\epsilon^{}_1 \rightarrow$  energy after the scattering in K
- $\epsilon' \rightarrow$  energy before the scattering in K'
- $\epsilon'_1 \rightarrow$  energy after the scattering in K'



Let's start by looking at the momentum and energy of the photon and electrons.

In Thomson scattering the photon has no momentum (classical electrodynamics).

However, from quantum mechanics we do know that a photon has a momentum.

This means that scattering process cannot be purely elastic since the electron will recoil due to the momentum of the photon.

The photon has initial energy  $\varepsilon$  and final energy  $\varepsilon_1$ . The photon has initial momentum  $\varepsilon/c$  and final momentum  $\varepsilon_1/c$ . The electron has initial energy mc<sup>2</sup> and final energy E/c. The electron has initial momentum 0 and final momentum p.

Using the conservation of energy and momentum it can be shown that the final and initial photon energies are related,

$$\epsilon_1 = \frac{\epsilon}{1 + \frac{\epsilon}{mc^2}(1 - \cos\theta)}$$

In terms of wavelength this can be written as,

$$\lambda_1 - \lambda = \lambda_c (1 - \cos \theta)$$

Compton wavelength is defined as,

$$h_c \equiv \frac{h}{mc} = 0.02426 \text{ Å for electrons}$$

$$\lambda_1 - \lambda = \lambda_c (1 - \cos \theta)$$

The photon always looses energy, unless  $\theta = 0$ , and the scattering is closely elastic (hv  $\ll$  m c<sup>2</sup>)

When the photons involved in the collision have large energies, the scattering become less efficient and quantum electrodynamics effects reduce the cross section.

The Thomson cross section becomes the Klein-Nishina cross section.

When the wavelength of the incoming photon is smaller than the Compton wavelength then the Compton scattering is important.

The net effect is to decrease the energy of the photon. When the wavelength is larger than the Compton wavelength then elastic scattering (i.e., Thomson scattering) is a good approximation and the photon does not change wavelength (or energy).

Direct Compton

$$\lambda_1 - \lambda = \lambda_c (1 - \cos \theta)$$

**Thompson Scattering** 

$$\lambda_1 - \lambda = 0$$

Quantum effects appear in two ways

(1) Kinematics of the scattering process : Since the photon has a momentum hv/c and energy hv, scattering will not be elastic because of recoil of charge

Need to consider energy momentum relations.

(2) Alteration of scattering cross section

Differential scattering cross-section of unpolarized radiation is given by Klein-Nishna formula

$$\frac{d\sigma}{d\Omega} = \frac{r_0^2}{2} \frac{\epsilon_1^2}{\epsilon^2} \left( \frac{\epsilon}{\epsilon_1} + \frac{\epsilon_1}{\epsilon} - \sin^2 \theta \right).$$

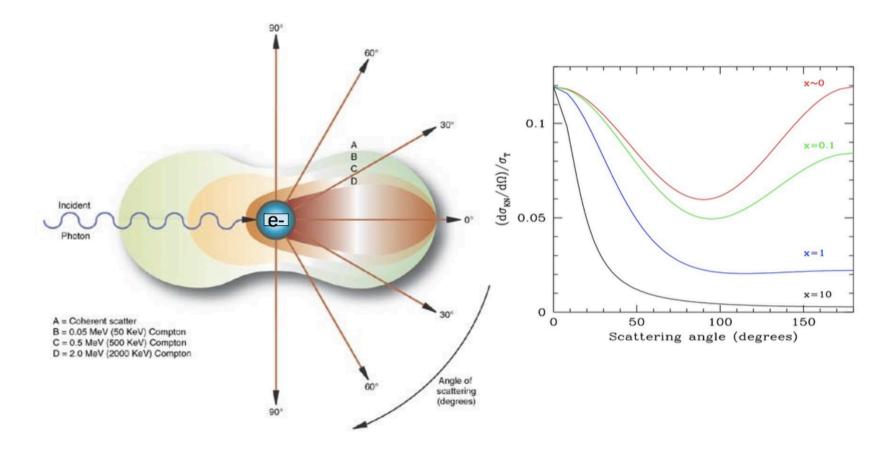
Note for  $\varepsilon_1 = \varepsilon$ , the scattering cross-section reduces to classical expression

In the non relativistic regime we have (considering  $x=hv/mc^2$ )

$$\sigma \approx \sigma_T \left( 1 - 2x + \frac{26x^2}{5} + \cdots \right) \qquad x <<1$$

In extreme relativistic regime we have

$$\sigma = \frac{3}{8} \sigma_T x^{-1} \left( \ln 2x + \frac{1}{2} \right) \qquad x > 1$$



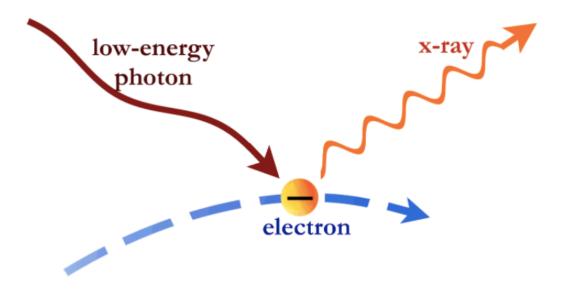
The green "peanut shape" pattern is the Thomson scattering (x~0, coherent scattering)

As the energy is increased the peanut shape disappears and the scattering becomes elongated in the "forward" direction.

The direct Compton (or simply Compton) scattering is not a very common process in astrophysics, but its inverse process (inverse Compton) is more common.

Whenever moving electron has sufficient kinetic energy compared to the photon, net energy can be transferred from the electron to the photon : inverse Compton

How does the initial photon energy change after a collision with the relativistic electron?



### Inverse Compton Scattering (notation)

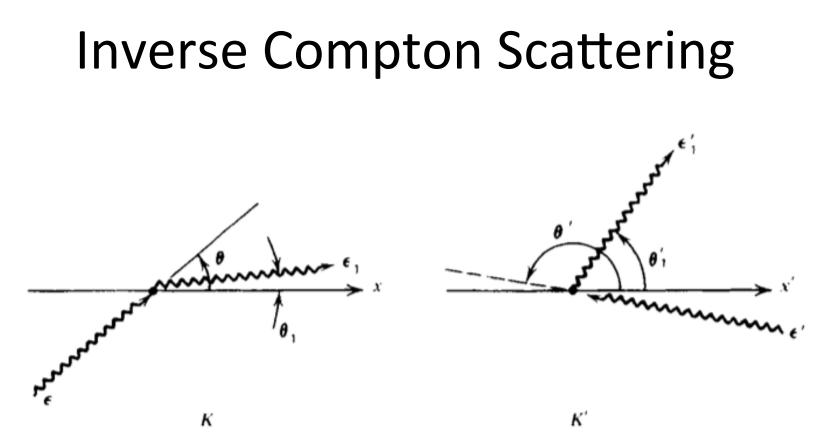
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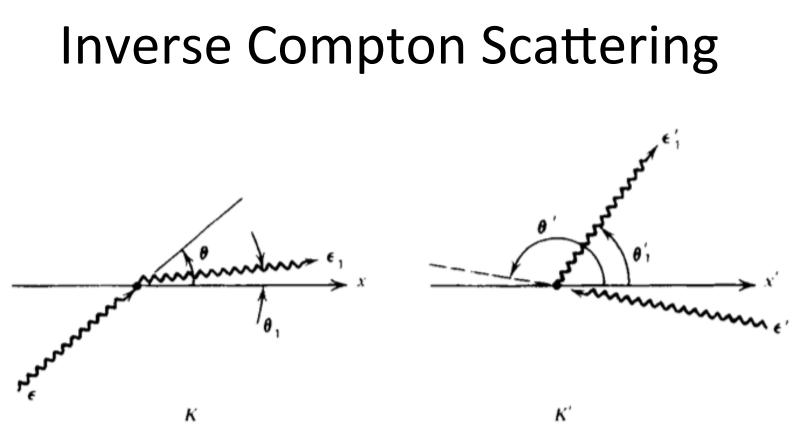
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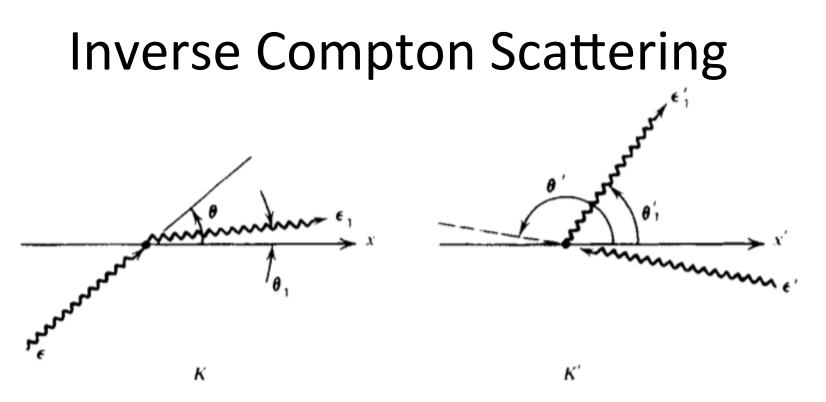
Step -1 : Photon and electron in lab frame to electron's rest frameStep-2 : Photon and electron interact in electron rest frameStep-3 : Back to the lab frame



Step -1 : Photon and electron in lab frame and go to electron's rest frame

$$\epsilon' = \epsilon \gamma (1 - \beta \cos \theta)$$
,  $\epsilon$  is energy of photon in K  
 $\epsilon'$  is energy of photon in K'

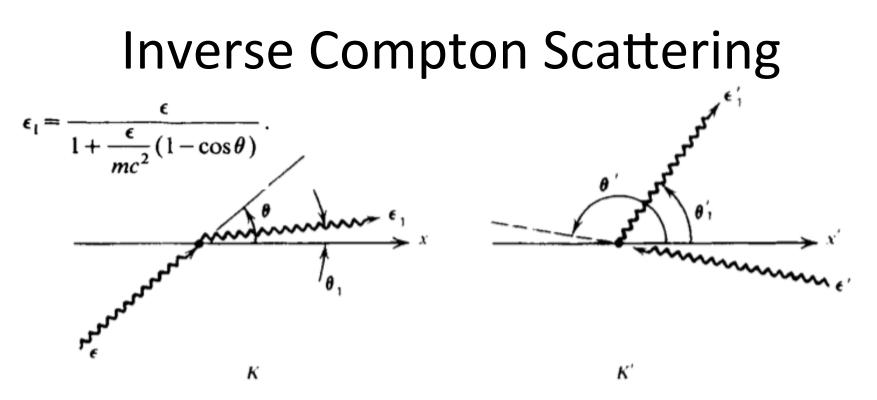
Energy of photon is increased in electron's rest frame due to relativistic Doppler boost



Step -2 : Photon and electron interact in electron's rest frame

$$\epsilon_1' = \frac{\epsilon'}{1 + \frac{\epsilon'(1 - \cos\Theta)}{mc^2}} \approx \epsilon' \left[ 1 - \frac{\epsilon'}{mc^2} (1 - \cos\Theta) \right]$$

We are now in electron's rest frame so we can use normal Compton formula



Step -2 : Photon and electron interact in electron's rest frame

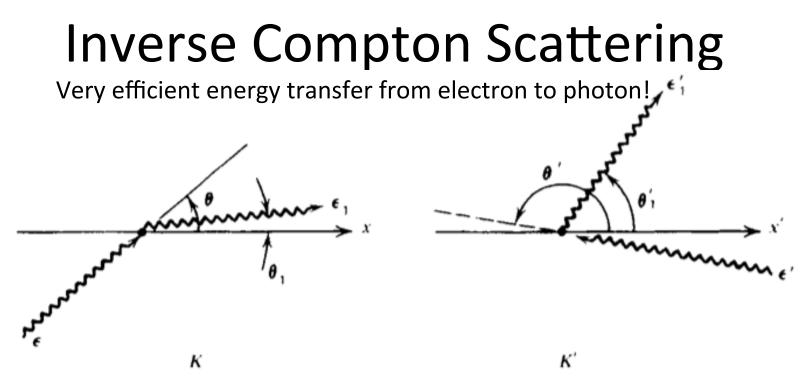
$$\epsilon_1' = \frac{\epsilon'}{1 + \frac{\epsilon'(1 - \cos\Theta)}{mc^2}} \approx \epsilon' \left[ 1 - \frac{\epsilon'}{mc^2} (1 - \cos\Theta) \right]$$

The photon energy has decreased in this process since some energy was given away to the electron

Scattering geometries in the observer's frame K and the electron rest frame K'

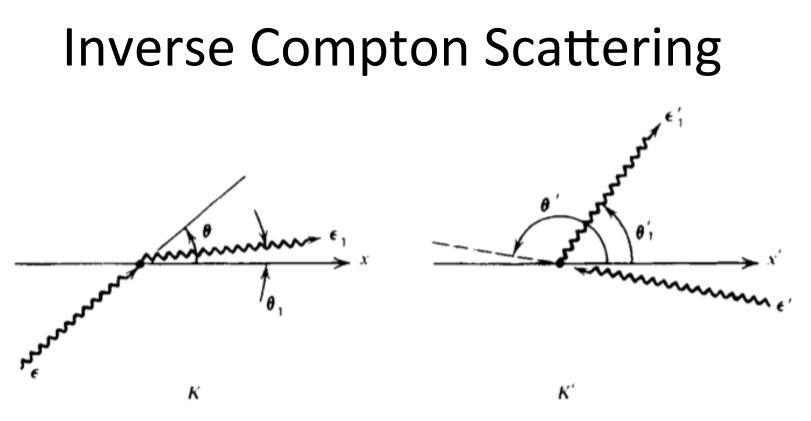
$$\epsilon_1 = \epsilon_1' \gamma (1 + \beta \cos \theta_1')$$

We have a second Doppler boost because now we go back to the lab frame. The photon energy has increased again (second relativistic Doppler boost)



Summary: Step 1.  $K \rightarrow K'$  (1st Rel. Doppler boost) Step 2. Compton Scattering (photon loses energy to the electron) Step 3.  $K' \rightarrow$  (2nd Rel. Doppler boost)

The photon gains an energy in step 1 and 3 by a factor gamma (so in total it gains a factor  $\gamma^2$  because of the double Relativistic Doppler boost).



Step-3 : Back to the lab frame 
$$\epsilon_1 = \epsilon_1' \gamma (1 + \beta \cos \theta_1')$$

Not all the quantities are calculated in lab frame K

By transforming angle s from K' to K

$$\epsilon_1 = \epsilon \frac{1 - \beta \cos \theta}{1 - \beta \cos \theta_1}$$

### Inverse Compton Scattering Minimum and Maximum energy

$$\epsilon_1 = \epsilon \frac{1 - \beta \cos \theta}{1 - \beta \cos \theta_1}$$

 $\varepsilon_1$  maximum when  $\theta = \pi$  and  $\theta_1 = 0$   $\varepsilon_1 = \varepsilon \frac{1+\beta}{1-\beta}$ 

Photon is scattered along velocity vector (head-on)

 $\varepsilon_1$  minimum when  $\theta=0$  and  $\theta_1=\pi$   $\varepsilon_1=\varepsilon \frac{1-\beta}{1+\beta}$ 

Photon is scattered from behind velocity vector (tail-on)

### Inverse Compton Scattering Minimum and Maximum energy

$$\epsilon_1 = \epsilon \frac{1 - \beta \cos \theta}{1 - \beta \cos \theta_1}$$

ε1 maximum when  $\theta = \pi$  and  $\theta_1 = 0$  ε

$$a_1 = \epsilon \frac{1+\beta}{1-\beta}$$

Photon is scattered along velocity vector (head-on)

$$\epsilon_1 = \epsilon \gamma^2 (1 + \beta)^2 \approx 4 \gamma^2 \epsilon$$

$$\downarrow$$

$$\nu' = 4 / 3 \gamma^2 \nu$$

In case it is possible to measure both the initial and final photon energy/ frequency, then  $\gamma^2$  can be deduced

The net power emitted by the electron in Compton scattering is,

$$P_{\text{compt}} = \frac{dE_{\text{rad}}}{dt} = \frac{4}{3}\sigma_T c\gamma^2 \beta^2 U_{\text{ph}}.$$
Photon energy
Net power emitted in Synchrotron emission,

$$P_{\rm synch} = \frac{4}{3} \, \sigma_T c \gamma^2 \beta^2 U_B. \quad \longleftarrow \quad \text{Very similar}$$

$$\frac{P_{\text{synch}}}{P_{\text{compt}}} = \frac{U_B}{U_{\text{ph}}}$$

$$\frac{P_{\rm synch}}{P_{\rm compt}} = \frac{U_B}{U_{\rm ph}}$$

Radiation losses due to synchrotron emission and to Compton effect are in the Same ratio as the magnetic field density versus photon energy density

This is true for arbitrary value of electron velocities

$$P_{\text{compt}} = \frac{dE_{\text{rad}}}{dt} = \frac{4}{3}\sigma_T c\gamma^2 \beta^2 U_{\text{ph}}.$$
$$P_{\text{synch}} = \frac{4}{3}\sigma_T c\gamma^2 \beta^2 U_B.$$

Why are these two powers so similar for very different physical mechanism operating?

The energy loss rate depends upon the electric field which accelerates the electron in its rest frame and it does not matter what the origin of that field is.

# Single particle spectrum

We want to know spectrum of the scattered radiation emerging after a collision with a single electron

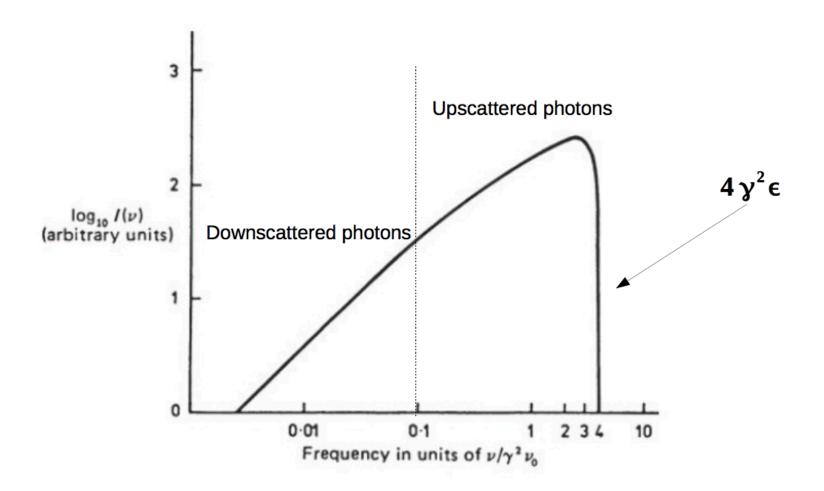
Energy of the electron after scattering  $\epsilon_1 = \epsilon \frac{1 - \beta \cos \theta}{1 - \beta \cos \theta_1}$ 

Maximum Energy of the electron after scattering  $\epsilon_1 = \epsilon \frac{1+\beta}{1-\beta}$  $\epsilon_1 = \epsilon \gamma^2 (1+\beta)^2 \approx 4 \gamma^2 \epsilon$ 

So Inverse Compton spectra for single electron scattering should fall off at  $4\gamma^2 \epsilon$ Average photon energy for single electron scattering ~ (4/3)  $\gamma^2 \epsilon$ 

Multiple Scattering

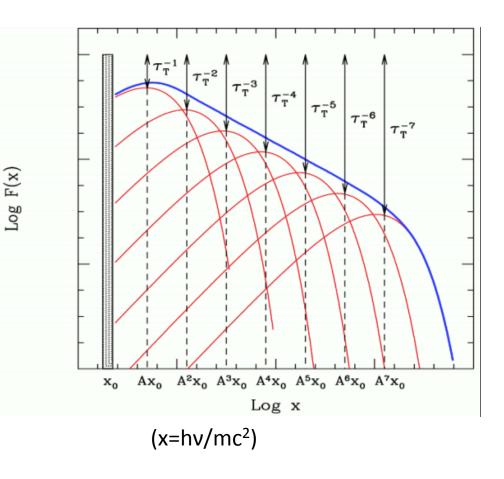
# Single particle spectrum



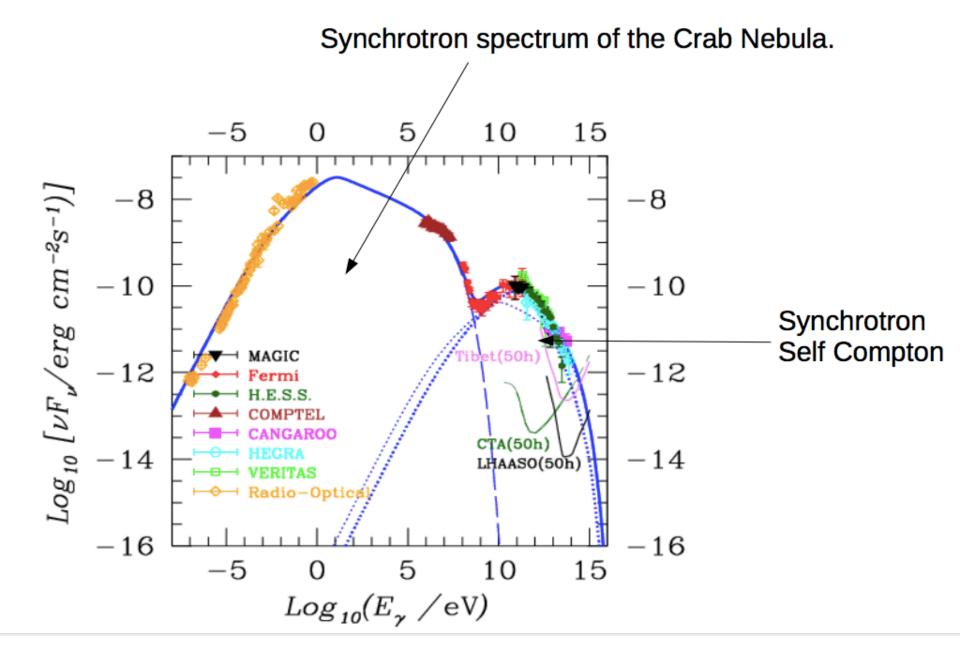
# Multi-scattering spectrum

Now, if the medium is of small optical depth the probability of a photon undergoing k scatterings before escaping the Comptonizing cloud is approximately: Prob.≈τ<sup>k</sup>

The intensity of the emerging Compton radiation will therefore decrease by a factor tau as a function of energy (or frequency) of the radiation.



Refer to: https://apatruno.files.wordpress.com/2016/09/lecture101.pdf



# Synchrotron + inverse compton

The same relativistic electrons radiate via synchrotron and inverse Compton; their contributions add up.

The increased cooling rate implies that the electron's radiative lifetime is consequently reduced.

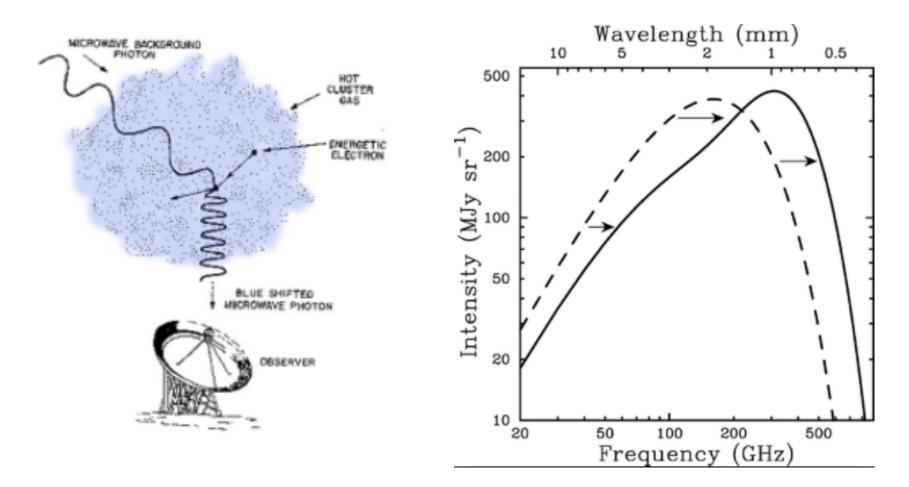
### Astrophysical application Sunyaev-Zeldovich effect

Sunyaev–Zel'dovich effect (named after Rashid Sunyaev and Yakov B. Zel'dovich and often abbreviated as the SZ effect) is the distortion of the cosmic microwave background radiation (CMB) through inverse Compton scattering by high energy electrons in galaxy clusters, in which the low energy CMB photons receive an average energy boost during collision with the high energy cluster electrons.

SZ effect arises from inverse Compton scattering of cosmic microwave background (CMB) photons off energetic free electrons in the hot, ionized gas within galaxy clusters. This creates a small fluctuation in the CMB temperature along the line of sight towards a cluster.

Observed distortions of the cosmic microwave background spectrum are used to detect the density perturbations of the universe. Using the Sunyaev–Zel'dovich effect, dense clusters of galaxies have been observed.

### Astrophysical application Sunyaev-Zeldovich effect

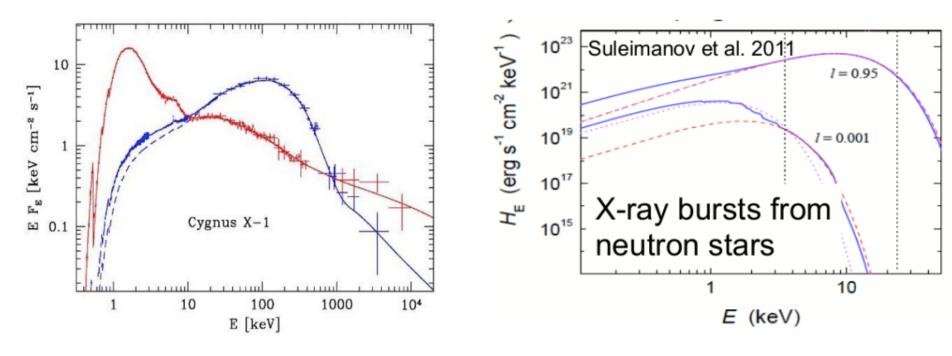


Refer: https://astro.uni-bonn.de/~bertoldi/projects/sz/ringberg/img1.html

# Other astrophysical applications

#### Accreting Black Holes & Neutron Stars

Thermonuclear bursts on Neutron stars



# End of Lecture 12